

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/998,288	11/30/2001	Yaping Lu	M01A239

CONFIRMATION NO. 4840

FORMALITIES LETTER



OC000000007217101

Philip H. Von Neida
The BOC Group, Inc.
Intellectual Property Dept.
100 Mountain Ave.
Murray Hill, NJ 07974

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 12/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Guid #3
Patent
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of LU et al.
S/N: 09/998,288
Filed: November 30, 2001
For: "Cap Assembly and Optical Fiber Cooling Process"
Atty. Dkt.: M01A239

Group: 2874

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

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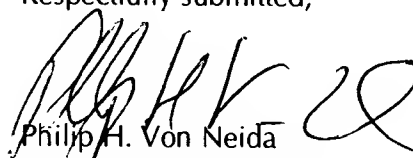
RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

In response to the Notice to File Missing Parts of Nonprovisional Application (copy enclosed) mailed December 20, 2001, Applicants submit the enclosed Declaration (37 CFR 1.63) for Utility or Design Application Using an Application Data Sheet (37 CFR 1.76). The Commissioner is hereby authorized to charge to Deposit Account No. 02-2865, the \$130.00 fee required under 37 CFR 1.16(e).

The Commissioner is requested to grant any necessary extension of time and is authorized to charge any additional fee, if required, to our Deposit Account No. 02-2865. This request is submitted **in triplicate**.

Respectfully submitted,

The BOC Group, Inc.
Intellectual Property Dept.
100 Mountain Ave.
Murray Hill, NJ 07974


Philip H. Von Neida
Attorney for Applicant(s)
Regn. No. 34,942
(908) 771-6402

Attachments: Declaration (37 CFR 1.63)
Notice to File Missing Parts

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C.

20231, on January 28, 2002
(Date)

Betty Lee
Typed or printed name of person signing this certificate

Betty Lee
Signature of person mailing